

**Annexure A to SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/23 dated February 24, 2022 on
Nomination for Eligible Trading and Demat Accounts**

NOMINATION OPTION (For DP & Trading both)

Globe Capital Market Ltd. B-04, Ansal Bhawan, 16 K.G Marg, Delhi - 110001	FORM FOR NOMINATION – Annex-A DP id and Client ID-- UCC/Trading Code--	Date
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NOMINATION DETAILS (Annexure A) I/We nominate the following persons who is/are entitled to receive security balances lying in my/our account, particulars where of are given below, in the event of my / our death.

Nomination can be made upto three nominees in the account.	Details of 1st Nominee	Details of 2nd Nominee	Details of 3rd Nominee
1 Name of the nominee(s) (Mr./Ms.)			
2 *Percentage of allocation of securities Equally [If not equally, please specify percentage] Or <input type="checkbox"/> Share of each Nominee	%	%	%
<small>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</small>			
3 Relationship With the Applicant (If Any)			
4 Address of Nominee(s)			
	PIN Code		
5 Mobile/Telephone No. (Optional)			
6 Email ID (Optional)			
7 Nominee Identification details : [Please tick any one of following and provide details of same] Photograph & Signature	Photograph of 1st nominee 	Photograph of 2nd nominee 	Photograph of 3rd nominee
	Signature	Signature	Signature
<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Bank Account No.			
<input type="checkbox"/> Proof of Identity			
<input type="checkbox"/> Demat Account ID (Optional)			
Sr. Nos. 8-14 should be filled only if nominee(s) is a minor:			
8 Date of Birth {in case of minor nominee(s)}	D D M M Y Y Y Y	D D M M Y Y Y Y	D D M M Y Y Y Y
9 Name of Guardian (Mr./Mrs.) {in case of minor nominee(s)}			
10 Address of Guardian			
	PIN Code		
11 Age			
12 Mobile/Telephone (Optional)			
13 Email ID (Optional)			
14 Relationship of Guardian with Nominee			
15 Guardian Identification details : [Please tick any one of following and provide details of same] <input type="checkbox"/> Photograph & Signature	Photograph of 1st Guardian 	Photograph of 2nd Guardian 	Photograph of 3rd Guardian
	Signature	Signature	Signature
<input type="checkbox"/> PAN <input type="checkbox"/> Aadhaar <input type="checkbox"/> Bank Account No.			
<input type="checkbox"/> Proof of Identity			
<input type="checkbox"/> Demat Account ID (Optional)			
Signature of Witness for Nomination (In Case of Thumb Impression)			
Name of the Witness	Address		Signature of Witness
Date	D D M M Y Y Y Y		
	Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Name			
Signatures	(5)	(3)	(3)

Notes:

1. All communication shall be sent at the address of the Sole/First holder only.
2. Thumb impressions and signatures other than English or Hindi or any of the other language not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate.
3. For receiving Statement of Account in electronic form:
 - I. Client must ensure the confidentiality of the password of the email account.
 - II. Client must promptly inform the Participant if the email address has changed.
 - III. Client may opt to terminate this facility by giving 10 days prior notice. Similarly, Participant may also terminate this facility by giving 10 days prior notice.
4. In case of joint account, on death of any of the joint account holders, the surviving account holder(s) has to inform Participant about the death of account holder (s) with required documents within one year of the date of demise.
5. In case if 'first holder' is selected, the communication will be sent as per the preference mentioned at Sr. No. 4. In case 'All joint account holders' is opted, communication to first holder will be sent as per the preference mentioned at Sr. No. 4 and communication to other holders will be in electronic mode. The default option will be communication to first holder', if no option selected.
6. The nomination can be made only by individuals holding beneficiary owner accounts on their own behalf singly or jointly. Non- individuals including society, trust body corporate and partnership firm, karta of Hindu Undivided Family, holder of power of attorney cannot nominate. If the account is held jointly, all joint holders will sign the nomination form.
7. A minor can be nominated. In that event, the name and address of the Guardian of the minor nominee shall be provided by the beneficial owner.
8. The Nominee(s) shall not be a trust, society, body corporate, partnership firm, karta of Hindu Undivided Family or a power of Attorney holder. A non-resident Indian can be a Nominee, subject to the exchange / depository controls in force, from time to time.

9. Nomination in respect of the beneficiary owner account stands rescinded upon closure of the beneficiary owner account. Similarly, the nomination in respect of the securities shall stand terminated upon transfer of the securities.

10. Transfer of securities in favour of a Nominee(s) shall be valid discharge by the depository and the Participant against the legal heir.

11. The cancellation of nomination can be made by individuals only holding beneficiary owner accounts on their own behalf singly or jointly by the same persons who made the original nomination. Non- individuals

including society karta of Hindu Undivided Family, holder of power of attorney cannot cancel the nomination. If the beneficiary owner account is held jointly, all joint holders will sign the cancellation form.

12. On cancellation of the nomination, the nomination shall stand rescinded and the depository shall not be under any obligation to transfer the securities in favour of the Nominee(S).

13. Nomination can be made upto three nominees in a demat account. In case of multiple nominees, the Client must specify the percentage of share for each nominee that shall total upto hundred percent. In the event of the beneficiary owner not indicating any percentage of allocation/share for each of the nominees, the default option shall be a settle the claims equally amongst all the nominee.

14. On request of Substitution of existing nominees by the beneficial owner, the earlier nomination shall stand rescinded. Hence, details of nominees as mentioned at the time of substitution will be considered. Therefore, please mention the complete details of all the nominees.

15. Copy of any proof of identity must be accompanied by original for verification or duly attested by any entity authorized for attesting the documents.

16. Savings bank account details shall only be considered if the account is maintained with the same participant.



17. DP ID and client ID shall be provided where demat details is required to be provided.

18. Strike off whichever is not applicable

Declaration Form for opting out of nomination (Annexure B)

UCC/DP ID	
Client ID (only for Demat account)	
Sole/First Holder Name	
Second Holder Name	
Third Holder Name	

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in nonappointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

	Sole / First Holder / Guardian (Mr./Ms.) (in case of Minor)	Second Holder (Mr./Ms.)	Third Holder (Mr./Ms.)
Name			
Signatures	(4) 	(2) 	(2) 